

**KATHERINE N. LAPP**

## **EXPERIENCE**

### **METROPOLITAN TRANSPORTATION AUTHORITY - Jan. 2002 thru Dec. 2006**

#### **Executive Director and Chief Executive Officer**

The MTA's chief executive responsible for the operations, finances and long-term business strategies of North America's largest regional transportation network consisting of New York City Transit, Metro-North Railroad, Long Island Rail Road, Long Island Bus, MTA Bridges and Tunnels and the MTA Bus and MTA Capital Construction Companies. Governed by a Board of Directors, the MTA has over 68,000 employees, an annual budget of \$10.2 billion, a \$21 billion five year capital program for system maintenance and network expansion and a total annual ridership of more than 2.37 billion.

#### **Primary Responsibilities/Key Accomplishments**

- Revamped MTA budget process to incorporate a new Four Year Financial Plan model as well as issuance of a Preliminary Budget for the next fiscal year five months prior to adoption to allow for public review and input.
- Implemented unprecedented corporate governance measures for the State's largest public authority to mirror key provisions of Sarbanes/Oxley Act governing public corporations.
- Successfully balanced MTA's \$10.2 billion annual budget for five successive years, requiring implementation of fare/toll increases and significant internal efficiency reductions.
- Developed and successfully negotiated the MTA's \$21 billion 2005-2009 Capital Plan including the enactment of new taxes and State fees to support the plan.
- Created Chief Compliance Unit to ensure adherence with MTA ethics and regulatory policies; developed strict MTA Code of Ethics for all MTA employees and promulgated Vendor Code of Ethics for all entities doing business, or seeking to do business, with MTA.
- Developed, funded and implemented a multi-faceted post 9/11 security program including additional MTA police officers, creation of canine and emergency services unit in the MTA PD, development of an award winning "See Something, Say Something" customer awareness campaign, installation of state-of-the-art surveillance cameras in stations and on buses, enhanced security training for operational personnel and the expenditure of nearly \$1 billion capital monies to harden the system against terrorist attack.
- Oversaw and coordinated the MTA's operating agencies, review performance indicators, and establish operating goals in consultation with the Agency Presidents.
- Interacted regularly with bond rating agencies, investment bankers and the municipal bond market community with regard to issuance of MTA bonds.
- Appeared regularly before Federal, State and local legislative committees, business forums and community groups to discuss regional transportation policy issues and needs.

**NEW YORK STATE DIRECTOR OF CRIMINAL JUSTICE AND  
COMMISSIONER OF THE DIVISION OF CRIMINAL JUSTICE SERVICES -  
Nov. 1997 thru Dec. 2001**

**Director of Criminal Justice**

Served as Governor George E. Pataki's primary advisor on criminal justice policy. Responsibilities involve oversight of the State's criminal justice agencies, including the New York State Police, the Department of Correctional Services, the Division of Parole, the Division of Criminal Justice Services, the Division of Probation and Correctional Alternatives, and the Crime Victims Board.

**Commissioner of the Division of Criminal Justice Services (DCJS)**

Managed an agency of 800 employees which is charged with the responsibility of maintaining the State's criminal history information database; distributing in Federal and State grants funds; and compiling criminal justice statistics.

**Primary Responsibilities/Key Accomplishments**

- Coordinated the development of the Governor's policy positions on public safety and criminal justice matters.
- Oversaw development of the State's DNA Database which maintains profiles on convicted felons and provides matches from profiles gathered at crime scenes.
- Created the first "Gun DNA" Database in New York State to maintain shell images on all new gun sales to be available to match spent shells retrieved at crime scenes.
- Supervised the budget of all State criminal justice agencies and monitor each agency's compliance with performance indicators.

**NEW YORK CITY CRIMINAL JUSTICE COORDINATOR - 1994 thru 1997**

Served as Mayor Rudolph W. Giuliani's advisor on criminal justice policy and provided oversight of the City's public safety agencies including the New York City Police, Fire Correction, Probation and Juvenile Justice Departments. Coordinated policy and programs with the New York State Unified Court System, the City's five District Attorney's offices as well as the City's defense bar.

**Primary Responsibilities/Key Accomplishments**

- Monitored the operations of the City's public safety/criminal justice agencies and assisted in the development of initiatives to advance the administration's policy objectives.
- Implemented major public safety initiatives, including merger of Transit and Housing Police Departments into New York City Police Department as well as merger of Emergency Medical Services into New York City Fire Department.
- Assisted in negotiation of Federal Crime Bill and, upon passage, spearheaded New York City's efforts to secure millions of dollars to hire more police officers, to implement NYPD crime mapping and reporting systems and to support community anti-crime measures.

**CHIEF OF STAFF AND SPECIAL COUNSEL TO THE DEPUTY MAYOR FOR PUBLIC SAFETY -  
1990 thru 1993**

Provided assistance to Mayor David N. Dinkins' primary advisor for public safety/criminal justice issues.

**Primary Responsibilities**

- Coordinated legislative agendas and activities of the City's various public safety agencies on the local, State and Federal level.

- Provided legal advice and counsel to the Deputy Mayor and representatives of City's public safety agencies.
- Negotiated budgets and legislation with representatives of City Council and New York State Legislature, including the Safe Streets/Safe City Program.

**LAW SECRETARY TO THE PRESIDING JUSTICE OF THE APPELLATE DIVISION SECOND JUDICIAL DEPARTMENT - 1986 thru 1990**

Served as primary legal advisor of Presiding Justice Milton Mollen. Researched and drafted decisions on criminal and civil cases pending before the court. Coordinated activities among the court's jurists.

**LAW ASSISTANT TO THE APPELLATE DIVISION, SECOND JUDICIAL DEPARTMENT - 1982 thru 1985**

**EDUCATION - Hofstra University School of Law - Juris Doctorate received 1981  
Fairfield University - Bachelor of Arts received 1978**

**BAR ADMISSION - Admitted to New York State Bar 1981**